

United States Hazardous Materials Instructions for Rail

June 25, 2008

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Introduction

1. Purpose

One of the rail industry's primary focuses continues to be the safe transportation of hazardous materials. Rail employees interact regularly with employees of other railroads. If subscribing railroads implement and consistently apply a standard set of rules and regulations, we will significantly enhance both our employees' safety and the safety of the communities through which we operate. Those railroads involved in developing the **United States Hazardous Materials Instructions for Rail** therefore worked together to create these instructions for employees who transport hazardous materials.

2. Policy

To handle hazardous material shipments or incidents safely and efficiently, without delay, and in accord with local, state, and federal regulations, it is imperative that you familiarize yourself with the **United States Hazardous Materials Instructions for Rail**, in addition to other operating rules. These instructions provide guidance on how to perform your duties so that both you and the company will comply with Department of Transportation (DOT) regulations.

Transportation employees who inspect or transport hazardous material by rail must have a copy of and comply with the **United States Hazardous Materials Instructions for Rail**.

Employees who transport hazardous materials must also have a copy of the current **Emergency Response Guidebook** (ERG) readily accessible while on duty.

The company will provide appropriate training to each employee who directly affects hazardous material transportation safety.

Always keep in mind that the company requires you to comply fully with the law. Compliance with the letter and spirit of our obligations is good corporate citizenship and is basic to achieving quality in all areas of our operations. Each of us has a duty to see that the railroad's actions are consistent with the highest legal and ethical standards.

3. Questions

For questions about the **United States Hazardous Materials Instructions for Rail**, contact your immediate supervisor.

4. Print Date/Version

5. Additions and Corrections

Individual railroads will make changes in these instructions through appropriate means.

Section I. GENERAL INFORMATION

1. Definition of Hazardous Materials

- a. Hazardous materials are defined as “a substance or material which the Secretary of Transportation has determined to be capable of posing an unreasonable risk to health, safety, and property when transported in commerce.”
- b. Hazardous materials are classified according to their chemical and/or physical properties. There are two worded classes and nine numeric classes, some of which may be divided into divisions. A hazardous material is assigned to only one class, even if it meets the definition of more than one hazard class. Table 1 lists the hazard classes and divisions.
- c. The term “hazardous material” includes hazardous substances, hazardous wastes, elevated temperature materials (HOT or MOLTEN), and marine pollutants.

Table 1. Hazard Classes and Divisions

Numbered Classes and Divisions

1 - Explosives

- 1.1 – Explosive with mass explosion hazard
- 1.2 – Explosive with projection hazard
- 1.3 – Explosive with predominantly fire hazard
- 1.4 – Explosive with no significant blast hazard
- 1.5 – Very insensitive explosive; blasting agent
- 1.6 – Extremely insensitive detonating substance

2 - Gases

- 2.1 – Flammable gas
- 2.2 – Nonflammable, nonpoisonous, (nontoxic) compressed gas
- 2.3 – Gas poisonous by inhalation

3 - Flammable Liquids

4 - Flammable Solids and Reactive Solids/Liquids

- 4.1 – Flammable solid
- 4.2 – Spontaneously combustible material
- 4.3 – Dangerous when wet material

5 - Oxidizers and Organic Peroxides

- 5.1 – Oxidizer
- 5.2 – Organic peroxide

6 - Poisonous (Toxic) Materials and Infectious Substances

- 6.1 – Poisonous (toxic) material
- 6.2 – Infectious substance

7 - Radioactive Materials

8 - Corrosive Materials

9 - Miscellaneous Hazardous Materials

Worded Classes

Combustible Liquids (*regulated in bulk packaging*)

ORM-D (Other Regulated Materials – D) – (*regulated in air transportation only*)

2. General DOT Requirement

- a. No person may offer, accept, or transport a hazardous material in commerce unless that material is properly classed, described, packaged, marked, labeled, and placarded and is in proper condition for transportation according to DOT and International regulations.
- b. No person may transport a hazardous material in commerce unless the hazardous material is handled and transported according to DOT regulations.

3. Expediting Hazardous Material Shipments

Loaded hazardous material shipments and both loaded and residue/empty time-sensitive shipments (see Table 2) must be forwarded either:

- a. within 48 hours (excluding Saturdays, Sundays, and holidays) after accepting them at the shipper's facility or receiving them in any yard, intermediate (transfer) station, or interchange point
or
- b. when only bi-weekly or weekly service is performed, on the first available train toward the destination.

Exception: The 48-hour requirement does not apply to shipments that are constructively placed or set out for repairs.

Table 2. Time-Sensitive Shipments	
20 Day	
(1)	Ethylene, refrigerated liquid – UN 1038
(2)	Hydrogen, refrigerated liquid – UN 1966
(3)	Chloroprene, stabilized – UN 1991
(4)	Flammable Liquid, n.o.s. (Methyl Methacrylate Monomer, uninhibited) – UN 1993
(5)	Hydrogen chloride, refrigerated liquid – UN 2186
(6)	Vinyl Fluoride, stabilized – UN1860
30 Day	
(1)	Styrene monomer, stabilized – UN 2055
(2)	Flammable Liquid, n.o.s (Recycled styrene) – UN 1993

4. Exceptions for U.S. Government Material

- a. Department of Energy (DOE) and Department of Defense (DOD) shipments made for the purpose of national security and accompanied by escorts (personnel specifically designated by or under the authority of DOD or DOE) are **not** subject to DOT regulations or to the instructions in this book.
- b. Escorts must travel in a separate transport vehicle from the rail car carrying the hazardous materials.
- c. The escorts must have, in their possession, a document certifying that the shipment is for the purpose of national security.

5. International Shipments

International shipments of hazardous materials (including shipments to and from Mexico and Canada), moving with proper International documents and International placards, may be transported in the United States (U.S.):

- a. from a U.S. port of entry to their U.S. destination
- b. when moving through the U.S. to a foreign destination
- c. from a U.S. point of origin to the International port of entry, when the cars are either:
 - (1) returning residue shipments
 - or**
 - (2) regulated Internationally but not in the U.S.

Section II. REQUIRED DOCUMENTATION

1. General Requirements

No person may accept a hazardous material for shipment by rail transportation or transport a hazardous material in a train unless a member of the crew has each of the following documents:

- a. acceptable shipping papers
- b. acceptable emergency response information
- c. a document showing the current position of the hazardous material shipment in the train.

Note: The purpose of this documentation is to provide railroad personnel and emergency response personnel with accurate information about the hazardous materials.

Therefore, keep all current hazardous material documents neat and orderly and ensure that they are available in case of an emergency or for inspection. Properly discard superseded documents to eliminate the possibility of confusing or inconsistent information.

2. Acceptable Shipping Papers

Any one of the following documents is an acceptable shipping paper for hazardous material shipments, as long as it includes the required shipping description entries (see item 6 of this section), is legible, and is printed (manually or mechanically in English).

- a. **Railroad-produced documents** – for example, train consists, train lists, wheel reports, waybills, industry work orders, or other similar documents
- b. **Customer-produced documents** – for example, bills of lading [including United Parcel Services (UPS) hazardous materials packets], or switch lists
- c. A **connecting carrier's documents**
- d. A **hand-printed document** (printed, not cursive letters) – for example, radio waybills
- e. A **hazardous waste manifest**.

3. Acceptable Emergency Response Information

Any one of the following documents is acceptable emergency response information:

- a. Emergency response information printed as part of the train list/consist
- b. **Emergency Response Guidebook** (ERG)
- c. Similar information provided by the customer – for example, a Material Safety Data Sheet (MSDS).

4. Document Indicating Position in Train

Before moving hazardous material shipments in a train, a member of the crew must have a document that shows the current position in the train of each hazardous material shipment (loaded and residue/empty).

When making pickups or setouts, update the document before proceeding. The train crew may update the document by handwriting on it or by appending or attaching another document to it.

5. Checking for Shipping Papers

Make sure that a member of the crew has a paper copy of acceptable shipping papers, with the required entries, for each hazardous material when:

- a. accepting hazardous material shipments at a customer's facility, interchange point, or other location
- b. moving hazardous material shipments in a train

- c. delivering hazardous material shipments to a customer's facility, interchange point, or other setout point
- d. switching hazardous material shipments outside a yard.

Note: Shipping papers are not required in the switch crew's possession when moving hazardous material shipments within a yard or at a customer's facility.

Exception: Although they may remain placarded and marked, residue/empty "Elevated Temperature Material" tank cars do not require hazardous material shipping papers and emergency response information.

6. Reviewing Shipping Paper Entries

Review the shipping description entries for each hazardous material on the shipping papers and make sure that the following entries (a-g under this item) are present. (Figure 1 shows two formats for displaying the shipping description entries.)

<p>Vertical Format</p> <p>GATX 12345 ^(a) 1/TC ^(b) SULFURIC ACID ^(c) 8 ^(d) UN1830 ^(e) PG II ^(f) RQ (SULFURIC ACID) ^(h3) EMERGENCY CONTACT: 800-424-9300 ^(g) HAZMAT STCC = 4930040 ^(h11)</p>
<p>Horizontal Format</p> <p>UTLX 12345 ^(a) 1/TC ^(b) // CHLORINE ^(c) // 2.3 ^(d) // UN1017 ^(e) // RQ (CHLORINE) ^(h3) // POISON-INHALATION HAZARD ^(h6) // ZONE B ^(h7) // MARINE POLLUTANT (CHLORINE) ^(h4) // EMERGENCY CONTACT: 800-424-9300 ^(g) // HAZMAT STCC = 4920523 ^(h11)</p>
<p>Items ^(a) through ^(g) are required entries for the basic hazardous materials description. Item h refers to additional entries that may appear. Typically, items ^(b) through ^(f) are in the sequence shown; however, certain items (technical name and subsidiary hazard class) may appear in parentheses between items ^(b) through ^(f). Note: The identification number ^(e) may be found either before the proper shipping name ^(c) or after the hazard class ^(d) until January 1, 2013 when the identification number must appear before the proper shipping name ^(c).</p>

Figure 1. Shipping Description Entries

a. Reporting marks (initials) and number

The shipping paper for a rail car, freight container, transport vehicle, or portable tank must include the reporting mark and number **only** when the reporting mark and number are displayed on the rail car, freight container, transport vehicle, or portable tank.

b. Total Quantity Notation

- (1) For empty packagings, bulk packagings, or cylinders of Class 2 materials, some indication of the total quantity must be shown (certain abbreviations are acceptable). For example, "1 T/C" (1 tank car), "1C/L" (1 car load), or "10 CYL" (10 cylinders).
- (2) For **non-bulk packaging**, the total quantity is given by both:
 - (a) weight or volume (including the unit of measure); for example, "100 lbs.", "55 gal.", "5 kg", or "208 L"; and

(b) number and type of packages; for example “**12 drums**”, “**12 UN 1A1**”, “**15 4G**”, or “**2 UN 3H1JERRICAN**”.

(3) For Class 1 materials, the quantity must be the net explosive mass.

c. Proper Shipping Name

- (1) The proper shipping name of the hazardous material may be one or more words, such as “**Chlorine**” or “**Sulfuric Acid**.” The proper shipping name may include a number that indicates the concentration of the material.
- (2) When a N.O.S. (Not Otherwise Specified) shipping name appears, the technical name of the product may appear in parentheses immediately after the N.O.S. shipping name, such as “**Corrosive Liquid, N.O.S. (Capryl Chloride)**.”
- (3) Residue/empty shipments in tank cars will begin with “**Residue: Last Contained**,” followed by the proper shipping name.
- (4) For waste shipments, the word “**Waste**” will precede or be part of the proper shipping name of the material.

d. Hazard Class – numeric or worded

Reference: For further information on hazard classes, see the definition in the Glossary and the list of hazard classes and divisions in Table 1.

- (1) For certain hazardous materials, a subsidiary hazard class, will appear in parenthesis after the primary hazard class. For example, Ethylene Oxide is listed as “**2.3 (2.1)**”.
- (2) The hazard class need not be repeated for Combustible Liquids, N.O.S. shipments.
- (3) Classes 1.1, 1.2, 1.3, 1.4, 1.5, and 1.6 may show a compatibility group letter after the class (for example, “**1.1A**”). The letter has no significance in rail transportation.

e. Identification Number

A 4-digit identification number must appear on the shipping papers with the prefix “UN” (United Nations) or “NA” (North America) as appropriate. **Note:** The identification number ^(e) may be found either before the proper shipping name ^(c) or after the hazard class ^(d) until January 1, 2013 when the identification number must appear before the proper shipping name ^(c) **Exception:** The proper shipping description “gas generator assemblies for aircraft” does not require identification numbers.

f. Packing Group

The packing group must appear on the shipping papers in Roman numerals (“I”, “II”, or “III”). The packing group may be preceded by the letters “PG” (“PG I”, “PG II”, or “PG III”).

Exceptions:

Hazard Classes 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 2.1, 2.2, 2.3, 4.1 (self-reactive liquids or solids, types B-F), 5.2, 6.2, 7, and ORM-D do not require the packing group notation.

g. Emergency Response Telephone Number

Shipping papers for hazardous materials must show a 24-hour emergency response telephone number. This telephone number must include the area code or international access code.

Exceptions: Emergency response telephone numbers are not required when the hazardous material is shown as a “Limited Quantity”, “LTD QTY”, or its proper shipping name is:

- (1) battery powered - equipment or vehicle
- (2) carbon dioxide, solid or dry ice
- (3) castor - bean, meal, flake, or pomace
- (4) consumer commodity
- (5) engines, internal combustion

-
- (6) fish – meal or scrap, stabilized
 - (7) fumigated unit
 - (8) refrigerating machine
 - (9) wheelchair, electric
 - (10) vehicle, flammable gas powered or vehicle, flammable liquid powered.

h. Additional Entries

Some hazardous material shipping descriptions also may require one or more of these entries:

- (1) “Residue: Last Contained ...” (for packages emptied to the maximum extent possible)
- (2) “HOT” notation added before a proper shipping name for elevated temperature materials
- (3) “RQ” for Reportable Quantity notation of a hazardous substance
- (4) “MARINE POLLUTANT” notation
- (5) “POISON” or “TOXIC” notation
- (6) “POISON(TOXIC)-INHALATION HAZARD (PIH or TIH)” or “INHALATION HAZARD (IH)” notation
- (7) Hazard Zone notation (“ZONE A,” “ZONE B,” “ZONE C,” or “ZONE D”)
- (8) “LIMITED QUANTITY” or “LTD QTY” notation
- (9) FRA Movement Approval (for example, “**FRA 0109123**”), DOT Special Permit (for example, “**DOT- SP 9271**”), Special Approval Number (for example, “**SA 920403**”), or Competent Authority Number (for example, “**CA 9701001**”)
- (10) DOT-113 notation (“DOT-113, Do Not Hump or Cut-Off in Motion”)
- (11) Hazardous Materials Response Code (STCC “48xxxxx” or “49xxxxx”)
- (12) certain shipments described using Canadian regulations may contain both an Emergency Response Plan number and its activation telephone number (e.g., “ERP-2-1008 (800-555-5555) // SPECIAL COMMODITY”)
- (13) box of asterisks with or without wording (not required by DOT, but may appear on railroad-produced documents)
- (14) Shipper's Certification
- (15) “OIL” notation
- (16) additional radioactive material entries
- (17) name and address of the place of business in Canada of the consignor
- (18) additional hazardous waste shipping description entries (see Section II, item 11, a)
- (19) EX number for air bag modules classified as Class 9. Note: Recycled air bag modules do not require the EX number entry, but must have the word recycled after the basic description.
- (20) For International shipments the following additional information may be present.
Dangerous Goods in Excepted Quantities, and an indication of number of packages.
Note: In must appear on the shipping papers. Voluntary January 1, 2009 and becomes mandatory January 1, 2010.

7. Handling Situations when Shipping Papers or Required Entries Are Not Available

When the appropriate shipping paper is not present or when all required entries on the shipping paper provided are not present:

- a. Do not move the car until the appropriate shipping paper or the required entries on the shipping paper are present.
- b. Take one of these three actions:

-
- (1) Correct the existing document. Contact the customer or your supervisor, request the entries required to complete the shipping description, and legibly print those entries in the appropriate sequence (see Section II, item 6).

or

- (2) Obtain the appropriate shipping paper from the shipper, your supervisor, or other appropriate person.

or

- (3) Use a radio waybill.

- (a) Contact your supervisor or dispatcher and request the appropriate entries for a radio waybill (see Figure 2, Example of Radio Waybill).

The supervisor or dispatcher will provide the requested entries via radio or telephone to you.

- (b) Complete the radio waybill using the information the supervisor or dispatcher provided.

Note: If a radio waybill form is not available, legibly print the required hazardous material information on a sheet of paper, including the car's initials and number (see Section II, item 6).

- (c) Keep the radio waybill with the other shipping documents until either reaching the final destination or receiving another shipping paper with the appropriate entries.

- (d) For each radio waybill issued, add the car initial and number and its position on the position-in-train document.

8. Checking for Emergency Response Information

- a. When accepting and transporting hazardous material shipments, make sure a copy of the emergency response information for each shipment (see Section II, item 3) is available.
- b. If emergency response information is **not** available, do **not** accept or transport the car.

9. Checking for Position-in-Train Document

- a. When transporting hazardous material shipments in a train, make sure a member of the crew has a document indicating the current position in train of each hazardous material shipment.
- b. If the document indicating the current position in train of each hazardous material is **not** available:
 - (1) Update the documents already in your possession.

or

- (2) Create a hand-printed list showing the position in train of each hazardous material shipment.

Note: The list must show the reporting marks and number for each hazardous material shipment in the train and its actual position in the train.

Hazardous Material Radio Waybill

NOTE: Print legibly

HAZARDOUS MATERIAL

- 1. Train Number _____
- 2. Number of Cars from Head End _____
- 3. Car Initial & No. _____
- 4. 1/ Car Load or Residue Last Contained (Circle One)

*** Description of Articles ***

- 5. Number of Packages/Car _____
- 6. Proper Shipping Name _____
- 7. Technical Name (_____)
- 8. Primary Hazard Class _____
Subsidiary Hazard Class(es) _____
- 9. UN/NA Id. No. _____
- 10. Packing Group (PG): I II III (Circle One)
- 11. Reportable Quantity (RQ): (_____)

*** Additional Information ***

- 12. Poison/Toxic Inhalation Hazard:
Zone A, Zone B, Zone C, Zone D (Circle One)
- 13. Marine Pollutant (_____)
- 14. DOT Special Permit Number(s):

- 15. Additional Information _____
- 16. ERP Plan No.: _____
(Canadian Shipments Only)
- 17. ERP Telephone No.: (_____) _____ - _____
(Canadian Shipments Only)
- 18. Emergency Contact (_____) _____ - _____
(_____) _____ - _____

Completed:
Date ____ / ____ / ____ Time: ____ : ____ AM
MO DAY YR PM

Figure 2. Example of Radio Waybill

10. Handling Shipping Papers Received from a Customer

When picking up a hazardous material shipment from the customer and the customer provides the original shipping papers:

- a. Check for appropriate hazardous material entries.
- b. For loaded shipments, make sure that the shipper's certification and signature (signature by hand or mechanical means) are on the shipping papers received from the customer.

11. Handling Hazardous Waste Shipping Papers and Manifests

a. The shipping paper for a hazardous waste shipment must have the following entries in addition to the entries required for other hazardous material shipments:

- (1) proper shipping description
- (2) name, address, and telephone number of the hazardous waste generator
- (3) name and address of the hazardous waste disposal facility
- (4) name of transporter
- (5) waste manifest number
- (6) special handling instructions.

b. When accepting a hazardous waste shipment **with** railroad generated shipping papers for the shipment which contains the hazardous waste manifest entries [(a) above], pick up the car containing hazardous waste without a copy of the hazardous waste manifest:

c. When accepting a hazardous waste shipment **without** railroad shipping papers for the shipment, check to see that the hazardous waste manifest contains both the hazardous materials shipping description entries (see Section II, item 6, a-g) and the hazardous waste manifest entries [(a) above].

If all entries are present on the hazardous waste manifest, pick up the car containing hazardous waste with the copy of the hazardous waste manifest.

d. When accepting or delivering a shipment of hazardous waste from the hazardous waste generator:

- (1) Sign the hazardous waste manifest as requested.
- (2) Return a copy of the hazardous waste manifest to the person requesting the signature.
- (3) Mail the remaining copies of the hazardous waste manifest to the _____

12. Handling Requests for Shipping Papers or Emergency Response Information

When receiving a request for shipping papers or emergency response information from a railroad employee, regulatory enforcement officer, or emergency response personnel in an emergency:

a. Share **all** the information on the shipping papers for the shipment

and

b. Share **all** available emergency response information.

III. INSPECTION

1. General Requirements

- a. To determine that they are in acceptable condition for transportation, all loaded and residue/empty hazardous material shipments must be inspected at these points:
 - (1) before accepting them from the shipper
 - (2) when receiving them in interchange

Note: Run-through trains received in interchange may continue to the next inspection point before being inspected.
 - (3) when placing them in a train
 - (4) at other points where an inspection is required (e.g., 1000 mile inspection).
- b. Accept or transport only those hazardous material shipments that conform to these instructions.

2. Inspection Procedures

From ground level, inspect all rail cars, trailers, and containers transporting hazardous materials, whether loaded or residue/empty (including flat cars transporting placarded or marked trailers or containers), for:

- leakage
- required placards and markings, including stenciling, car certificates, and qualification dates (See section IV for details)
- secure fastening of closures.
- signs of tampering, such as suspicious items or items that do not belong, the presence of an "Improved Explosive Device" (IED), and other signs that the security of the car may have been compromised.

Note: Where an indication of tampering or a foreign object is found, take the following actions:

- (1) Do not accept or move the rail car.
- (2) Immediately move yourself and others to a safe location away from the rail car before using radios and cell phones to make notifications.
- (3) For cars at a customer's facility, immediately contact local plant personnel. If local plant personnel are not available or cannot explain what you see, immediately contact the train dispatcher (follow your specific railroad instructions).
- (4) For cars on interchange tracks or in the yard, immediately contact the yardmaster or train dispatcher (follow your specific railroad instructions).

a. Inspecting All Car Types (from ground level)

- (1) Without climbing on the car, make sure that the hazardous material shipment is not leaking.
 - (a) Look for leaking contents – drips, wetness, or material on the car or on the ground.
 - (b) Look for a vapor cloud.
 - (c) Listen for hissing sounds of the contents escaping.

Note: If you find a hazardous material shipment leaking, follow the instructions in item 3 of this section and in Section VIII (Emergency Response), item 5.
- (2) Make sure placards and markings are appropriate for the shipment and displayed correctly (see Section IV, Placards and Markings)
- (3) Before accepting a hazardous material shipment from the shipper, make sure that:
 - (a) all customer loading and unloading lines are disconnected
 - (b) derails, chocks, and blue flags are removed
 - (c) all platforms are raised or in the clear.

b. Inspecting Tank Cars (from ground level)

Check placarded tank cars or tank cars marked with an identification number to see that:

- (1) protective housing covers are closed
- (2) manway cover swing bolts are up and in place
- (3) all valves and fittings appear to be closed and secure
- (4) visible plugs or caps (including bottom outlet caps) or other fittings are securely in place

Note: Heater coil caps may be left off.

- (5) “double shelf couplers” and roller bearings are present.

c. Inspecting Placard/Marked Gondola cars

- (1) Look for loosely fastened gondola covers.
- (2) Make sure the cover or tie downs do not foul any safety appliances.

d. Inspecting Placard/Marked Hopper cars

Check that discharge gates are closed and secured.

e. Inspecting Shipments Placarded EXPLOSIVES 1.1 or 1.2

- (1) In addition to the other inspection requirements in this section, for shipments placarded EXPLOSIVES 1.1 and 1.2:
 - (a) Look for indications of damage to the contents.
 - (b) Make sure that completed “car certificates” (see Figure 3, Car Certificates) are displayed on both sides of the rail car.
 - (i) Car certificates must be removed after the rail car, trailer, or container is unloaded.
 - (ii) Car certificates are either 7.1 by 7.1 inches or 5.9 by 7.9 inches in size.
- (2) Do not accept or transport the car until all damage has been corrected and car certificates are in place.

_____ Railroad	
No 1 _____ Station _____	20 _____
_____ hereby certify that I have this day personally examined Car Number _____ and that the car is in condition for service and complies with the FRA Freight Car Safety Standards (49 CFR Part 215) and with the requirements for freight cars used to transport explosives prescribed by the DOT Hazardous Materials Regulations (49 CFR Part 174)	
_____ Qualified Person Designated Under 49 CFR 215.11	
No 2 _____ Station _____	20 _____
I have this day personally examined the above car and hereby certify that the explosives in or on this car, or in or on vehicles or in containers have been loaded and braced; that placards have been applied, according to the regulations prescribed by the Department of Transportation; and that the doors of cars so equipped fit or have been stripped so that sparks cannot enter.	
_____ Shipper or his authorized agent	
_____ Qualified Person Designated Under 49 CFR 215.11	
No 3 _____ Station _____	20 _____
_____ I hereby certify that I have this day personally supervised the loading of the vehicles or containers on and their securement to the above car.	
_____ Shipper or railway employee inspecting loading and securement	
<i>Note 1: A shipper must decline to use a car not in proper condition.</i>	
<i>Note 2: All certificates, where applicable, must be signed.</i>	

Figure 3. Car Certificates

f. Inspecting Placarded/Marked Intermodal Shipments

In addition to completing other inspection requirements in this section:

- (1) Make sure that an intermodal tank container of hazardous material is not transported with a container above or below the tank.
- (2) Make sure that placards are fully visible when containers are loaded in a well car.
- (3) Make sure that intermodal tanks are placed so that the bottom outlet valves are pointed toward the ends of the well or platform.

3. Handling Defects

When a hazardous material shipment does not appear to be prepared for transportation:

- a. Do not accept or pull the hazardous material shipment or allow it to continue in transportation.
- b. Notify the customer, train dispatcher, yardmaster, or your immediate supervisor, as appropriate, and explain the problem.
- c. or signs of tampering, such as suspicious items are found

IV. PLACARDS AND MARKINGS

1. General Requirement

Hazardous material shipments, whether loaded and containing a residue, must **not** be accepted for transportation or transported unless they are properly placarded and marked. Not all hazardous material shipments require placards.

2. Placard Requirements

Each bulk packaging, freight container, transport vehicle, or rail car containing hazardous material must be placarded on each side and each end in accordance with the instructions below.

Note: Unless the shipping papers indicate that the shipment is a limited quantity, all international shipments (including Canada and Mexico) of hazardous materials require placards.

Placard - a sign measuring 273 mm (10.8 in) by 273 mm (10.8 in) square-on-point, communicating a hazard by symbol, color, and words or numbers. (see Figure 4 for pictures of placards). Text indicating the hazard is not required on placards other than the DANGEROUS placard. The hazard class text does not have to be in English.

Note: A placard meeting IMDG requirements [minimum of 250 mm (9.8 in) by 250 mm (9.8 in)] is acceptable.

- a. Placards are required when transporting **any quantity** (bulk or non-bulk) of these hazard classes:
 - 1.1 Explosive with mass explosion hazard
 - 1.2 Explosive with projection hazard
 - 1.3 Explosive with predominantly fire hazard
 - 2.3 Gas poisonous by inhalation
 - 4.3 Dangerous when wet material
 - 5.2 Organic peroxide, Type B, liquid or solid, temperature controlled
 - 6.1 Material poisonous by inhalation
 - 7 Radioactive Yellow III label or exclusive use shipments of low specific activity (LSA) materials and surface contaminated objects.
- b. Placards are required when transporting total weight of **1001 lbs. (454 kg) or more** (bulk or non-bulk) of these hazard classes:

Note: Placards may be displayed for a total weight less than 1001 lbs. of these materials, as long as they are appropriate for the shipment.

- 1.4 Explosive with no significant blast hazard
- 1.5 Very insensitive explosive; blasting agents
- 1.6 Extremely insensitive detonating substances
- 2.1 Flammable gas
- 2.2 Nonflammable, nonpoisonous compressed gas
- 3 Flammable liquid
- 4.1 Flammable solid
- 4.2 Spontaneously combustible material
- 5.1 Oxidizer
- 5.2 Organic peroxide, other than "organic peroxide, Type B, liquid or solid, temperature controlled" in 2a above
- 6.1 Poisonous material, (other than material poisonous by inhalation)

Note: For U.S. transportation of Class 6.1 PG III materials, a PG III placard may be used in place of a POISON (TOXIC) placard.

- 8 Corrosive material

9 Miscellaneous hazardous material.

Exception: For U.S. transportation only, Class 9 placards are not required. However, bulk shipments of Class 9 materials transported in the US must be marked with the identification number (see Section IV, item 4).

Combustible Liquids [see item c (7) below for handling combustible liquids in non-bulk packages]
Mixed hazardous materials in this item (see page 19, item f).

c. Placards are not required for:

- (1) Hazardous material shipments with less than 1001 lbs. (454 kg) total weight, provided the hazard classes are included in item b above
- (2) ORM-D (Other Regulated Materials – D)
- (3) Class 6.2 (Infectious Substances)
- (4) Class 9 (US/Canadian transportation) materials that display the identification number
- (5) Limited Quantity (LTD QTY) shipments when identified as such on shipping papers
- (6) Cryogenic atmospheric gases, other than Oxygen (for example, Argon)
- (7) Combustible liquids in non-bulk packaging (i.e., drums), usually found in intermodal shipments, unless the material is a hazardous substance or hazardous waste
- (8) Rail cars and intermodal tanks of hazardous materials which have been cleaned and purged
- (9) Shipments listed as Radioactive White I and Radioactive Yellow II on shipping papers
- (10) Class 1.4S
- (11) Shipments of molten sulfur moving to the United States from Canada, provided the identification number and the words “MOLTEN SULFUR” appear on each side of the tank car.

d. Placards may be displayed for hazardous materials, even when not required, as long as the placard is appropriate for the contents of the shipment. If displayed, then all instructions for that placard apply.

e. Certain hazard classes require the display of the primary placard on a white square background, including (see Figure 4, Placard Chart): *(when required to be affixed to the rail car)*

- (1) Hazard Class 1.1 or 1.2 explosives
- (2) Hazard Class 2.3 or 6.1 poison/toxic inhalation hazard zone A material
- (3) Hazard Class 2.1 flammable gases loaded in DOT-113 tank cars, including tank cars containing only a residue of the material.

f. The DANGEROUS placard may be used instead of separate placards for each hazard class when a rail car, trailer, or container is loaded with non-bulk packages of two or more classes of hazardous materials from this section's item 2b.

Note: When 2,205 lbs. (1,000 kg.) or more of one class of material is loaded at one loading facility, the placards for that class as specified in item 2b of this section must also be applied.

g. Some shipments of hazardous materials require subsidiary placards that represent secondary hazards. Subsidiary placards must not display a 4-digit identification number, but will display the hazard class or division number.

Note: Subsidiary placards must be displayed when the subsidiary class is 2.3, 4.3, or 6.1 with the notation Poison-Inhalation Hazard or Toxic-Inhalation Hazard present on the shipping papers.

h. For residue/empty hazardous materials shipments, the rail car, trailer, or container must remain placarded in the same manner as the loaded shipment, unless the packaging:

- (1) has been cleaned of residue; or

-
- (2) has been purged of vapor to remove any hazard; or
 - (3) has been refilled, with a material requiring different placards or no placards, to such an extent that any residue remaining in the packaging is no longer hazardous.
 - (4) contains a residue of an elevated temperature. These shipments may remain placarded in the same manner as when it contained a greater quantity of the material even though the material no longer meets the definition for an elevated temperature material.
 - (5) contains a residue of a Hazardous Substance, Class 9, that does not meet the definition of another hazard class and is not a hazardous waste or marine pollutant. These shipments may remain marked, labeled, and or placarded in the same manner as when it contained a greater quantity of the material even though the material no longer meets the definition for a Hazardous Substance.

3. Inspecting for Placards

- a. Make sure that all required placards are:
 - (1) consistent with the shipping paper information
 - (2) on both sides and both ends of the shipment

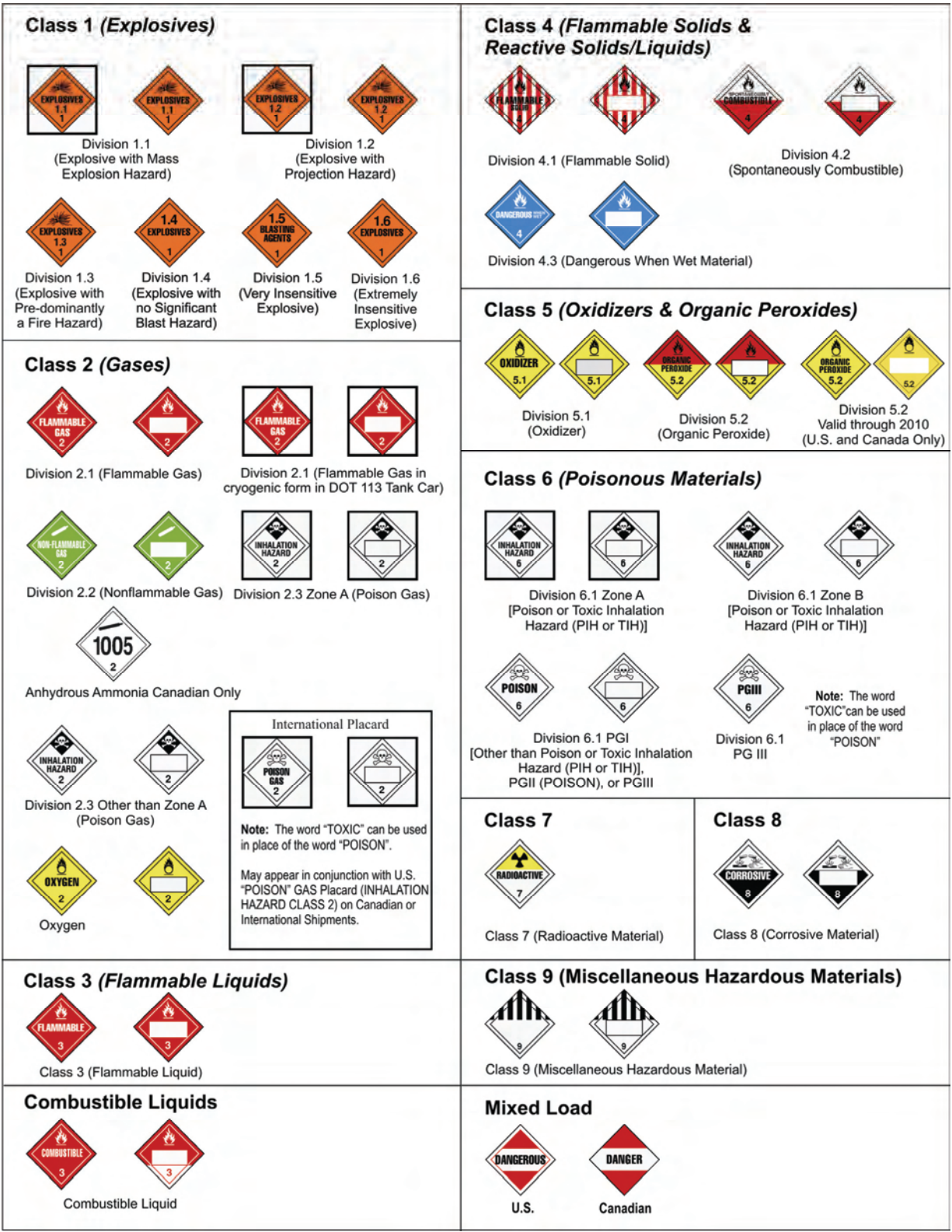


Figure 4. Placards for Hazardous Materials by Hazard Class

Text indicating the hazard is not required on placards other than the DANGEROUS and Radioactive placard. The worded hazard class text, except for DANGEROUS, does not have to be in English as long as the size, color, hazard class, and symbol are correct.

-
- (3) in placard holders or securely attached to the rail car, trailer, or container
 - (4) not damaged, faded - color should be similar to the color printed in this document (see Figure 4, Placard Chart), or obscured by dirt or car part
 - (5) oriented horizontally, so you can read them from left to right
 - (6) readily visible from the direction they face, except for placards on the ends of trailers and containers in or on a rail car.
- b. When **picking up** a hazardous material shipment at the customer's facility or siding, and a placard is not correct, does not meet the standards above, or is missing:
 - (1) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (2) Do not accept the hazardous material shipment until corrections have been made.
 - c. When a placard does not meet the standards above or is discovered missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. Corrections must be made at the next inspection point.

4. Marking Requirements and Inspecting for Markings

Marking - a descriptive commodity name, identification number, caution, such as INHALATION HAZARD, HOT, MOLTEN, MARINE POLLUTANT, FUMIGANT, or NON-ODORIZED (NOT ODORIZED), or tank car qualification date displayed on hazardous material shipments.

Make sure the markings above are displayed on bulk packages as follows:

a. Identification Number Markings

- (1) Identification number markings must appear on both sides and both ends either on the placard or in close proximity to the placard, when a placard is required:
 - (a) Bulk packages of hazardous materials (including Class 9 when no placard is required)

Note: Identification number markings are not required on the ends of multi-compartmented tank cars transporting more than one hazardous material having different DOT identification numbers.
 - (b) Rail cars, trailers, and containers when 8,820 lbs. (4000 kg.) or more of non-bulk packages of hazardous materials, with the same proper shipping name and identification number, are loaded at one location and the transport vehicle does not contain any other hazardous or non-hazardous materials.

Exception: For shipments of molten sulfur from Canada, the identification number marking must appear only on both sides of the tank car.
- (2) Identification numbers can be displayed in one of three ways, as Figure 5 shows:

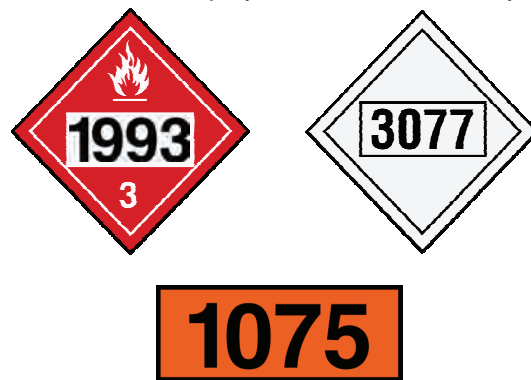


Figure 5. Identification Numbers.

-
- (3) Identification numbers must not be displayed on:
 - (a) EXPLOSIVES 1.1, 1.2, 1.3, 1.4, 1.5, or 1.6 placards
 - (b) RADIOACTIVE placards
 - (c) DANGEROUS placards
 - (d) Subsidiary placards.
 - (4) Make sure the identification numbers appear as required above and agree with the shipping paper entries.
 - (5) When **picking up** a hazardous material shipment at the customer's facility, a siding or an interchange point and the identification number is not correct, is not legible, or is missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do not accept the hazardous material shipment until corrections have been made.
 - (6) When an identification number is not correct, is not legible, or is missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

Note: Missing identification numbers must be replaced and may be entered on the appropriate placard, orange panel, or white square-on-point configuration by hand using a **black indelible** marker.

b. MARINE POLLUTANT Mark

- (1) For a material described on the shipping papers as a marine pollutant and the shipment does not require a placard, make sure that the MARINE POLLUTANT mark appears on both sides and both ends of bulk packaging in one of the formats in Figure 6.

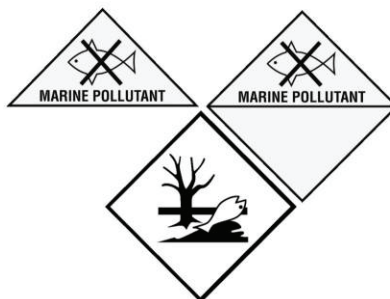


Figure 6. Marine Pollutant Mark

Note: MARINE POLLUTANT marks are not required when the bulk packaging displays a placard.

- (2) When **picking up** a hazardous material shipment at the customer's facility or siding or at an interchange point, and a required MARINE POLLUTANT mark is not legible or is missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do **not** accept the hazardous material shipment until corrections have been made.
- (3) When a required MARINE POLLUTANT mark is not legible or is missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

c. HOT Mark

- (1) For a material described on the shipping papers with the words "HOT," "ELEVATED TEMPERATURE," or "MOLTEN" and transported in a bulk packaging, the word "HOT" must be marked on two opposing sides of the bulk packaging, either:
- (a) on a plain white square-on-point configuration having the same outside dimensions as a placard (see Figure 7).

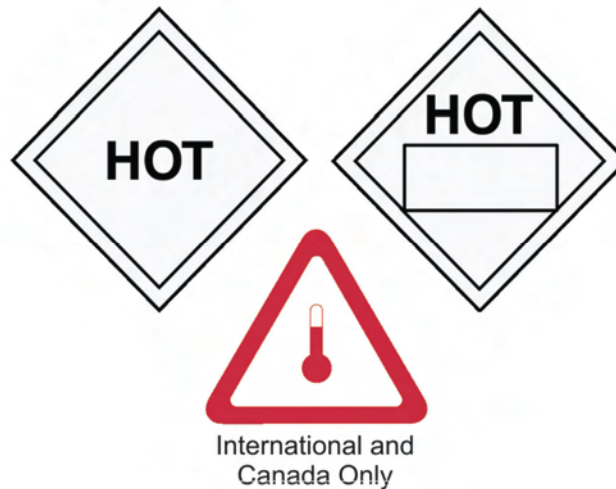


Figure 7. HOT Mark

Or

- (b) on the packaging itself.

Note 1: The word "HOT" is **not** required for bulk packagings of molten aluminum or molten sulfur marked "MOLTEN ALUMINUM" or "MOLTEN SULFUR," as appropriate.

Note 2: Residue/empty shipments that last contained an elevated temperature material (HOT), such as asphalt, are not considered hazardous materials and do not require hazardous material shipping description entries on the shipping paper. When the shipping paper indicates empty, the shipment may be accepted and moved in rail transportation without the hazardous material shipping description entries, even though the HOT mark and identification number are displayed.

- (2) When **picking up** a hazardous material shipment at a customer's facility or siding or at an interchange point and a HOT mark is not legible or is missing:
- (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
- (b) Do **not** accept the hazardous material shipment until corrections have been made.
- (3) When a HOT mark is not legible or is missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

d. LIMITED QUANTITY Mark

- (1) The words "LIMITED QUANTITY" or "LTD QTY" displayed on both sides and both ends of trailers/containers.
- (a) The limited quantity mark is required:
- When the entire load of hazardous materials is limited quantities.
 - When a mix of non-hazardous materials and hazardous materials in limited quantity.

-
- (b) The limited quantity mark is not required:
 - i. When there are limited quantities and other hazardous materials NOT in limited quantities, but you would placard for the regular hazardous materials.
 - (2) Packages containing dangerous goods in limited quantities need not be marked with the proper shipping name of the contents, but shall be marked with the UN number of the contents (preceded by the letters "UN") placed within a diamond. The width of line forming the diamond shall be at least 2 mm; the number shall be at least 6 mm high. Where more than one substance is included in the package and the substances are assigned to different UN numbers, then the diamond shall be large enough to include each relevant UN number.

e. INHALATION HAZARD Mark

- (1) For a material described on the shipping papers as "Poison (Toxic) - Inhalation Hazard" or "Inhalation Hazard," the words "INHALATION HAZARD" must appear (in at least 3.9-inch high letters) on both sides of the rail car, trailer, or container, near the placards.

Note: When the words "INHALATION HAZARD" appear on the placards, the "INHALATION HAZARD" mark is not required on the bulk packaging.
- (2) When **picking up** a hazardous material shipment at the customer's facility or siding or at an interchange point and the words "INHALATION HAZARD" are illegible or missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do **not** accept the shipment until corrections have been made.
- (3) When the "INHALATION HAZARD" marking is illegible or missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

f. COMMODITY NAME

- (1) The commodity name is required on intermodal tanks transporting any hazardous materials and on tank cars transporting certain hazardous materials. The commodity name (3.9 inches in height for tank cars and 2 inches in height for intermodal tanks) must match the proper shipping name on the shipping papers and may include the technical name, although it is not specifically required. The commodity name must be on two opposing sides of the intermodal tank or tank car.
- (2) When **accepting** an intermodal tank or tank car of hazardous materials from the shipper or in interchange and the commodity name is illegible or missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do **not** accept the shipment until corrections have been made.
- (3) When the commodity name on a tank car is discovered illegible or missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

Note: See Appendix 1 for list of materials that require the commodity name stencil on tank cars

g. Tank Car Qualification Dates

- (1) Make sure the stencils describing the tank car specification and qualification dates are legible. These stencils will appear on both sides of the tank car toward the end on the right as you face the car.
- (2) Make sure the tank car qualification dates for pressure relief devices (PRD), tank, and interior heater coils are current (a car is currently within the qualification date until the last day of the year shown) (see Figure 8).

Note 1: When the car is loaded before the end of the year, it may be transported for unloading purposes but must be requalified before reloading.

Note 2: A tank car containing the residue of a hazardous material that is overdue its periodic qualification date may move and not be in violation of DOT regulations. The regulations only address loading a tank car overdue for its periodic qualification.

Tank Car Qualification Stencil (New Style)

DOT 111A100W1		STATION STENCIL	QUALIFIED	DUE
TANK QUALIFICATION		ABC-1	2002	2012
THICKNESS TEST		ABC-1	2002	2012
SERVICE EQUIPMENT		ABC-1	2002	2012
PRD Valve:	75 PSI	DEF-1	2002	2012
INT HTR	SPGR	FGL-1	2002	2012
LINING		ABC-1	2007	2012
88.B.2 INSPECTION		ABC-1	2002	2012
STUB SILL INSPECTION		ABC-1	2002	2012

Tank Car Qualification Stencil (Old Style)

DOT 112J340W	
Safety Valve	280.5 LB
Tested 2006	Due 2016
Tank	340 LB
Tested 2006	Due 2016
Blt.	03/2005

Figure 8. Tank Car Qualification Stencil

- (3) When the qualification date is overdue, do not accept loaded tank cars from the shipper.
- (4) When found en route, car may proceed to destination after contacting the supervisor.

h. FUMIGANT Mark

- (1) As information, the purpose of the FUMIGANT mark (see Figure 9) is to warn persons unloading the rail car, trailer, or container that it has been fumigated and that they must take appropriate precautions before unloading the car. The (*) on the mark will be replaced by the name of the fumigant.
- (2) The FUMIGANT mark must be in English. However, EPA regulations allow another language in addition to the English version on the same FUMIGANT mark or an additional one.

Note: The fumigant marking is required on each point of entry to a trailer/or container.

- (3) Shipping Description Entries
 - (a) For U.S. shipments that are fumigated, information on the shipping papers is not required.
 - (b) For International (Canadian and IMDG) shipments verify that the information for the shipment on the shipping papers includes the following entries - UN 3359, Fumigated Unit, name of the fumigant, amount of fumigant, date of fumigation, and any disposal information.



Figure 9. Fumigant Mark.

i. Inspecting for Non-Odorized Marks

A tank car or intermodal tank container shipments containing liquefied petroleum gas (LPG) that is unodorized must be legibly marked NON-ODORIZED or NOT ODORIZED on two opposing sides near the marked proper shipping name or near the placards.

The NON-ODORIZED or NOT ODORIZED marks may appear on a tank car or tank container used for both unodorized and odorized LPG.

Shippers may include on shipping papers the information that the shipment is not odorized, if they so choose.

V. SWITCHING

1. General Requirement

Switch placarded hazardous material shipments only in compliance with the restrictions on the Switching Chart (see Figure 10).

Switching is defined as “the operation of moving rail cars within a yard in order to place them in a train or on a classification, repair, or storage track.” Switching also includes making pickups and setouts at a customer's facility or interchange points. Switching does **not** include moving rail cars to or from a shipper's facility or industry track into or out of the yard.

Reminder: When moving rail cars to or from a shipper's facility or on an industrial lead into or out of the yard, comply with both the train placement restrictions in Section VI and the required documentation requirements in Section II.

WHEN RAIL CARS ARE CUT OFF IN MOTION, THE COUPLING SPEED MUST NOT EXCEED 4 MILES PER HOUR.

2. Safety

Before coupling, position yourself toward the end of a tank car, if possible, away from the manway and valves. Contents of tank cars may splash during or immediately following coupling, due to either improperly secured closures or the impact of coupling.

3. When to Use the Switching Chart

Refer to the Switching Chart:

- a. when moving placarded hazardous material shipments in a yard to place them in a train or on a classification, repair, or storage track
- b. when making pickups or setouts of placarded hazardous material shipments at a customer's facility, interchange point, or other setout point.














































4. How to Use the Switching Chart

- a. Select the applicable column and row of the Switching Chart. To do so:
 - (1) Identify the placards and/or markings applied to the car, either from information on the shipping papers or from observation.

Note: When placards are displayed but are not required by regulation (permissive placarding), the rail car must be switched as required for the placard displayed.
 - (2) Determine whether the car is loaded or residue/empty.

Note: Residue/empty tank cars are identified on switch lists, track lists, and track inquiries with an “E” or “DE” in the appropriate field. The notation “RESIDUE: LAST CONTAINED” on the shipping papers indicates a residue/empty shipment.
 - (3) Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc.).
- b. Find the applicable section on the chart, based on the placard or marking applied, the load/empty status, and the car type.
- c. Follow the restrictions associated with the placard or marking as the "X"s in the columns indicate.

Figure 10. SWITCHING CHART

HOW TO USE THIS CHART						
a. Select the applicable column and row of the Switching Chart. To do so:						
(1) Identify the placards and/or markings applied to the car, either from information on the shipping papers or from observation.						
Note: When placards are displayed but are not required by regulation (permissive placarding), the rail car must be switched as required for the placard displayed.						
(2) Determine whether car is loaded or residue/empty.						
Note: Residue/empty tank cars are identified on switch lists, track lists, and track inquiries with an "E" or "DE" in the appropriate field. The notation "RESIDUE: LAST CONTAINED" on the shipping papers indicates a residue/empty shipment.						
(3) Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc.).						
b. Find the applicable section on the chart, based on the placard or marking applied, the load/empty status, and the car type.						
c. Follow the instructions associated with the placard or marking as the "X"s in the columns indicate.						
EQUIVALENT PLACARDS   						
Cars with placards displaying 4-digit identification numbers will be handled the same as cars with word description placards.						
INSTRUCTIONS						
1) Separate these cars from an engine by at least one non-placarded car or by one Group F placarded or marked car. Do not place where there is any probable danger of fire (ex. switch heaters). Do not place under bridges, under overpasses or along passenger stations.						
2) These cars must not be: <ul style="list-style-type: none"> • Cut off in motion, • Struck by any free rolling car, or • Couple into with more force than needed to make the coupling. 						
3) These cars must not be cut off in more than two car cuts. No more than two car cuts can couple into these cars.						
4) When a person must ride a rail car to operate the hand brake: <ul style="list-style-type: none"> • Verify the hand brake is working properly. • Do not cut cars off until all preceding cars are clear of the lead • Do not cut off any cars to follow until the lead is clear. 						
GROUP A	GROUP B	GROUP C	GROUP D	GROUP E	GROUP F	
   	 	 <p>Note: Applies only to cask cars with spent nuclear fuel or high-level radioactive waste</p>  <p>DOT 113 TANK CAR (LOADS OR RESIDUE/EMPTY)</p>	    <p>Note: Only for Anhydrous Ammonia UN 1005</p>  <p>Note: Anhydrous Ammonia Canadian Only</p>	     <p>Canadian or International</p>          <p>U.S.</p>	              	Any Car
Any Car	Any Loaded Car	Any Car	Loaded Tank Car	Other Loaded Car	Other Loaded Car	Any Car
X						
X	X	X	X*	X*	X*	
			X			
			X			

*Applies only to placarded flatcars, freight containers, trailers, portable tanks, tote bins, intermodal portable tanks, or U.N. portable tanks. NOTE: The word "toxic" can be used in place of the word "poison" on placards displayed in Group E and Group F.

VI. TRAIN PLACEMENT

1. General Requirement

Place placarded hazardous material shipments in a train so as to comply with the instructions on the Position-in-Train Chart (Figure 11). **Note:** Correct hazardous materials train placement errors at the first location that allows switching, once the error is identified.

A **Train** is one or more engines coupled, with or without rail cars, displaying a marker, requiring an appropriate air brake test, and authorized to operate on a main track.

2. When to Use the Position-in-Train Chart

Use the chart to make sure placement position in train is correct:

- a. before a train departs the initial terminal
- b. before a train departs an intermediate station where pickups and setouts were made en route
- c. when delivering cars to or picking cars up at interchange tracks that are owned and operated by another railroad.





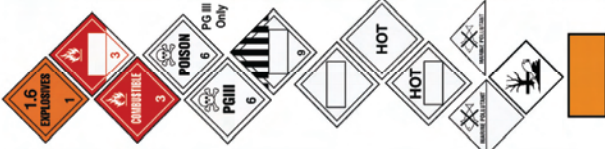
3. How to Use the Position-in-Train Chart

- a. Select the applicable column of the Position-in-Train Chart. To do so:
 - (1) Identify the placards and/or markings applied to the car, either from the shipping papers or from observation.
Note: When placards are displayed but are not required by regulation (permissive placarding), the rail car must be switched as required for the placard displayed.
 - (2) Determine whether the car is loaded or residue/empty.
Note: The notation "RESIDUE: LAST CONTAINED" on the shipping papers indicates a residue/empty shipment.
 - (3) Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc.).
- b. Find the applicable section on the chart, based on the placard or marking applied, the load or residue/empty status, and the car type.
- c. Follow the instructions associated with the placard or marking, as the "X"s in the columns indicate.

4. General Information

- a. For train placement purposes, each platform or well of an intermodal rail car counts as one car.
- b. A buffer car is a:
 - (1) non-placarded rail car
 - (2) rail car with a placard or marking shown in Group E
 - (3) residue/empty tank car, as long as it complies with Instruction # 2 on the Position-in-Train Chart
 - (4) placarded rail car, other than a tank car, as long as it complies with Instruction # 6 on the Position-in-Train Chart.
- c. The word "TOXIC" can appear in place of the word "POISON" on placards.
- d. A business car train is not a passenger train.

Figure 11. POSITION IN TRAIN CHART

GROUP A	GROUP B	GROUP C	GROUP D	GROUP E		
			<p>May be placed next to Explosives 1.1 or 1.2 (Special Permit DOT SP-9271)</p> 		<p>HOW TO USE THIS CHART</p> <p>a. Select the applicable column of the Position-in-Train Chart, To do so:</p> <ol style="list-style-type: none"> Identify the placards and/or markings applied to the car, either from the shipping papers or from observation. Note: When placards are displayed but are not required by regulation (permissive placarding), the rail car must be switched as required for the placard displayed. Determine whether car is loaded or residue/empty. Note: The notation "RESIDUE: LAST CONTAINED" on the shipping papers indicates a residue/empty shipment. Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc.). <p>b. Find the applicable section on the chart, based on the placard or marking applied, the load/empty status, and the car type.</p> <p>c. Follow the instructions associated with the placard or marking, as the "X"s in the columns indicate.</p>	
Any Car	Loaded Tank Car	Any Car	Loaded Tank Car	Residue Tank Car	Other Loaded Car	Loaded Tank Car
X	X		X			
X	X	X	X	X		
X						
X	X		X	X		
X						
X						
X	X	X	X	X	X	X

EQUIVALENT PLACARDS

 =  3

Cars with placards displaying 4-digit identification numbers will be handled the same as cars with word description placards.

INSTRUCTIONS

1) A placarded car must not be nearer than the 6th car from an engine (working or not working) or occupied caboose/business car. If the train does not have at least five buffer cars, then all available buffer cars must be placed between the placarded car and the engine (working or not working). When an occupied caboose/business car is in the train, the available buffer cars must be equally divided to protect both the engine (working or not working) and occupied caboose/business car from the hazardous material shipment.

2) Engine (working or not working), occupied caboose, or business car.

3) Open top cars (including bulkhead flats), when any of the contents protrude beyond the car ends or, if shifted, would protrude beyond the car ends.

4) Loaded flat cars, except closed TOFC/OFC equipment, multi-levels, and other specially-equipped cars with tie-down devices for handling vehicles. Railroad wheels loaded on wheel car flats, in gondolas with no ends, or loaded with the axles above the top of the car.

5) Any rail cars, transport vehicles, or freight containers with temperature control equipment or internal combustion engine in operation.

6) Any placarded car in another placarding Group, except it may be next to any residue placarded car or any car placarded or marked as in Group E.

VII. KEY TRAINS

1. General Requirement

Trains carrying specified numbers of loaded rail cars, trailers, or containers of hazardous materials must be operated as "Key Trains."

2. Key Train Definition

A "Key Train" is any train as described in either a, b, or c below:

- a. one (1) or more loads of spent nuclear fuel (SNF) or high level radioactive waste (HLRW) moving under the following Hazardous Materials Response Codes 4929142, 4929143, 4929144

or

- b. 5 or more loaded tank cars containing materials that require the phrase "Poison/Toxic-Inhalation Hazard" on the shipping papers (Hazard Zone A, B, C, or D) and/or anhydrous ammonia (Identification Number 1005)

or

- c. a combination of 20 or more loaded hazardous materials shipments or intermodal portable tank loads of a combination of "Poison/Toxic-Inhalation Hazard" on the shipping papers (Hazard Zone A, B, C, or D), flammable gas (2.1), anhydrous ammonia (Identification number 1005), Class 1.1 or 1.2 explosives, or environmentally sensitive chemicals (see Table 3).

Exception: Do not count box cars, trailers, or containers carrying mixed loads of hazardous materials when determining key train status.

Table 3. Environmentally Sensitive Chemicals

Allyl Chloride
Carbon Tetrachloride
Chlorobenzene
Chloroform
o-Dichlorobenzene
Dichloropropane (Propylene dichloride)
Dichloropropane/Dichloropropene Mixture
Dichloropropene
Ethyl Chloride
Ethylene Dibromide
Ethylene Dibromide and Methyl Bromide Mixtures
Ethylene Dichloride
Epichlorohydrin
Methyl Chloroform (1, 1, 1 Trichloroethane)
Methylene Chloride (Dichloromethane)
Methylene Chloride/Chloroform Mixture
Perchloroethylene (Tetrachloroethylene)
Perchloroethylene/Trichloroethylene Mixture
Trichloroethylene

3. Identifying Key Trains

- a. A computer-generated train consist/train list will identify Key Train status in the header block on the first page.
- b. When a computer-generated train consist/train list is not available or hazardous material cars are added to a train, the conductor must review the shipping papers for all hazardous material cars and determine Key Train status.
- c. After picking up or setting out hazardous material shipments **en route**, the Key Train status may change. The conductor must determine whether or not Key Train status has changed and, if so, promptly notify the train dispatcher.

4. Instructions for Operating Key Trains

- a. The maximum authorized speed for Key Trains is 50 MPH, unless further restricted.
Note: Where lower speed restrictions are in effect, or when the train is restricted to a lower speed for other reasons, the lower speed governs.
- b. A key train will hold the main track, when practicable, unless a speed of greater than 10 MPH is authorized for the siding or auxiliary track.
- c. Only cars equipped with roller bearings will be allowed in a Key Train.
- d. When a defect in a Key Train is reported by a wayside/trackside warning detector but a visual inspection fails to confirm evidence of a defect, the train must not exceed 30 MPH until it has passed over the next wayside detector or is delivered to a terminal for a mechanical inspection. If the same car sets off the next detector or is found to be defective, it must be set out from the train.
- e. Unless relieved of the requirement to do so by the operating railroad's train dispatcher, the crew operating a Key Train on a foreign railroad must, at the earliest opportunity, notify the foreign railroad's train dispatcher that the train is a Key Train as defined by the operating railroad.

VIII. EMERGENCY RESPONSE

1. General Requirement

When an emergency occurs, SAFETY IS OF FIRST IMPORTANCE.

- a. Make an emergency call as radio rules require.
- b. Look for a fire or vapor cloud.
- c. Determine the status of crew members in the area.
- d. Warn and keep everyone at a safe distance.

2. When a Fire or Vapor Cloud is Visible

- a. Take the shipping papers (including the emergency response information) and move yourself and other crew members uphill and upwind at least one half mile. Stay out of ditches and low areas.
- b. Do not smoke or use fusees.
- c. Provide the train dispatcher or yardmaster with as much of the following information as is available:
 - (1) Specific location of the emergency (station, mile post location, nearest street or crossing)
 - (2) Type of emergency
 - (3) Status of crew members
 - (4) Cars involved, including each car's initials and numbers and their extent of involvement (for example, leaking, derailed, or on fire)
 - (5) Surroundings (e.g., proximity to populated areas, local bodies of water, or nearby drainage ditches or storm sewers; description of terrain; location of access roads; weather conditions)
 - (6) Resources necessary to handle the situation (for example, fire, ambulance, and law enforcement agencies)
 - (7) Location where a crew member with shipping papers will meet arriving emergency response personnel.
- d. Once you are in a safe location:
 - (1) Identify yourself and cooperate with the local emergency response personnel as described in Section VIII item 4.
 - (2) Review your shipping papers and emergency response information.
 - (3) If necessary, move to the farthest distance recommended in:
 - (a) the Evacuation Section of the emergency response information accompanying the shipping papers

or

 - (b) information from the *Emergency Response Guidebook*.

3. When No Fire or Vapor Cloud is Visible

- a. Review the shipping papers for hazardous material shipments.
- b. Take the shipping papers (including the emergency response information) and inspect the train to identify the rail cars, trailers, or containers involved, and look for indications of the release of hazardous materials.
- c. When you encounter a hazardous material release, unusual smells, or noises during this inspection:
 - (1) Avoid contact with the material and its vapors.
 - (2) Move yourself and other crew members upwind and uphill at least one half mile. Stay out of ditches and low areas.
 - (3) Eliminate any ignition sources (no smoking, no fusees).
 - (4) Warn all bystanders to stay away.
- d. After completing the inspection, notify the train dispatcher or yardmaster with as much of this information as is available:
 - (1) Status of crew members
 - (2) Cars involved, including each car's initials and numbers and their extent of involvement (for example, leaking, derailed, or on fire)
 - (3) Surroundings (e.g., proximity to populated areas, local bodies of water, or nearby drainage ditches or storm sewers; description of terrain; location of access roads; weather conditions)
 - (4) Resources necessary to handle the situation (for example, fire, ambulance, and law enforcement agencies)
 - (5) Location where a crew member with shipping papers will meet arriving emergency response personnel.
- e. Once you are in a safe location:
 - (1) Identify yourself and cooperate with the local emergency response personnel as described in Section VIII item 4.
 - (2) Review your shipping papers and emergency response information.
 - (3) If necessary, move to the farthest distance recommended in:
 - (a) the Evacuation Section of the emergency response information accompanying the shipping papers
 - or**
 - (b) information from the ***Emergency Response Guidebook***.

4. Cooperating with Local Emergency Responders

- a. Share any requested information from the shipping papers with emergency response personnel.
 - (1) Provide an extra copy of the train consist/Train List, when available.

Note: Retain any waybills and a copy of the train consist/Train List until you can deliver them to the first railroad manager on the scene.
 - (2) Provide a copy of the emergency response information provided with the shipment.
- b. Help emergency response personnel identify cars and the commodities involved. Use shipping papers or observations from a safe location to accomplish this task.
- c. Give the first railroad manager on the scene an oral description of the incident and indicate any assistance you provided emergency responders.
- d. Remain at the scene, at a safe distance, until a railroad manager relieves you.

-
- e. A railroad spokesperson will handle discussing the incident with the media or other non-emergency response personnel.

5. Handling Leaking Hazardous Material Shipments

Take these actions when there is any sign of leakage:

- a. Do **not** allow the hazardous material shipment to continue in transportation until the leak is controlled.

Note: Leaking hazardous material shipments may be moved, with proper railroad authority, only as far as necessary to reduce or eliminate the immediate threat of harm to human health, the environment, or railroad operations. Movement of leaking hazardous material shipments may require government approval.

- b. When it is necessary to move a leaking hazardous material shipment, use an adequate number of buffer cars between the locomotive and the leaking car, to prevent chemical exposure.

APPENDIX 1

List of materials that require the commodity name stencil on tank cars:

Division 2.1 materials

Division 2.3 materials

Acrolein, stabilized

Ammonia, anhydrous, liquefied

Ammonia solutions (more than 50% ammonia)

Bromine or Bromine solutions

Bromine chloride

Chloroprene, stabilized

Dispersant gas or Refrigerant gas

Formic acid

Hydrocyanic acid, aqueous solutions

Hydrofluoric acid, solution

Hydrogen cyanide, stabilized (less than 3% water)

Hydrogen fluoride, anhydrous

Hydrogen peroxide, aqueous solutions (greater than 20% hydrogen peroxide)

Hydrogen peroxide, stabilized

Hydrogen peroxide and peroxyacetic acid mixtures

Nitric acid (other than red fuming)

Phosphorus, amorphous

Phosphorus, white dry or Phosphorus, white, under water or Phosphorus white, in solution, or Phosphorus, yellow dry or Phosphorus, yellow, under water or Phosphorus, yellow, in solution

Phosphorus white, molten

Potassium nitrate and sodium nitrate mixtures

Potassium permanganate

Sulfur trioxide, stabilized

Sulfur trioxide, uninhibited

GLOSSARY

Buffer car – a non-placarded rail car, a railcar with a placard or marking shown in Group F on the Switching Chart or Group E on the Position-in-Train Chart, a residue/empty tank with no other restrictions, or a placarded rail car with no other restrictions.

Bulk packaging - packaging with capacity greater than 119 gallons or 882 pounds. For example, bulk bags, intermodal (IM) portable tanks, portable tanks, portable bins, gondola cars, hopper cars, or tank cars.

Container – any freight container, intermodal (IM) portable tank, portable tank, or portable bin.

Emergency – an unforeseen combination of circumstances or the resulting state that calls for immediate action (for example, derailment and leaks).

Emergency response information - hazard and response information for each hazardous material, contained in either the train documentation or the Emergency Response Guidebook (ERG), to assist response personnel at hazardous material incidents.

Hazard class - the category of hazard assigned to a material. A class may be subdivided into divisions for clarity. A class may be expressed as a number or with words.

Hazardous material - a substance or material which the Secretary of Transportation has determined to be capable of posing an unreasonable risk to health, safety, and property when transported in commerce. The term "hazardous material" includes hazardous substances, hazardous wastes, elevated temperature materials (HOT or MOLTEN), and marine pollutants.

Hazardous material shipment - a hazardous material in rail cars, trailers, or containers in rail transportation. All hazardous material shipments require shipping papers. When moved in rail cars, trailers, or containers, hazardous material shipments may or may not be placarded or marked with an identification number.

Hazardous waste manifest - a document specifically for tracking hazardous wastes in transportation. It contains the shipping description and identifies the waste generator, each transporter, and the disposal facility.

Hazard zone - one of four levels of inhalation hazard (Hazard Zones A through D) assigned to gases, and one of two levels of hazard (Hazard Zones A and B) assigned to liquids that are poisonous/toxic by inhalation. For example, when the hazard zone is "A," it is shown on the shipping paper as "Zone A." Zone A is the most hazardous, and Zone D is the least hazardous.

Improvised Explosive Device (IED) – is a device fabricated in an improvised manner incorporating explosives or destructive, lethal, noxious, pyrotechnic, or incendiary chemicals in its design. This device generally includes a power supply, a switch or timer, and a detonator or initiator.

Inhalation Hazard – term used to identify certain gases and liquids that may cause health problems if breathed in very low concentrations for short periods of time.

Interchange - the process of transferring rail cars to or from another railroad.

Limited quantity (LTD QTY) - a term used on shipping papers to indicate a hazardous material shipment which is allowed an exception to the labeling, packaging, and placarding requirements because the hazard associated with a small package is low.

Marking - a descriptive commodity name, identification number, caution (such as INHALATION HAZARD, HOT, MOLTEN, or MARINE POLLUTANT), or tank car test date displayed on hazardous material shipments. (See Section IV for marking requirements.)

Movement Approval – a one time authorization to move a non-conforming package not meeting the applicable hazardous material regulations. This provides no relief of any regulations other than specifically stated in the approval.

N.O.S. - initials, found on shipping papers, which mean "Not Otherwise Specified."

Non-bulk packaging - packaging with a capacity equal to or less than 119 gallons or 882 pounds. For example, bags, bottles, boxes, cylinders, or drums.

ORM-D (Other Regulated Material - D) - a material such as a consumer commodity that, due to its form, quantity, and packaging, presents such a limited hazard that it is not subject to the hazardous material regulations when transported by rail.

Packing group - a grouping of hazardous materials according to the degree of danger:

- Packing Group I (shown as "PG I" or "I" on the shipping papers) indicates great danger.
- Packing Group II (shown as "PG II" or "II" on the shipping papers) indicates medium danger.
- Packing Group III (shown as "PG III" or "III" on the shipping papers) indicates minor danger.

Placard - a sign measuring 10³/₄ by 10³/₄ inches square-on-point, communicating a hazard by symbol, color, and words or numbers. Some placards must be displayed on a square background which is white with a black border (see Figure 4, page 21 for examples of placards).

Placarded car - a rail car displaying placards in accordance with DOT regulations.

Poison Inhalation Hazard (PIH) - term used to identify certain gases and liquids that may cause health problems if breathed in very low concentrations for short periods of time.

Position-in-Train document – a document showing the current position of all hazardous material shipments within the train. This document could be the train consist/Train List or a separate document specifically for this purpose.

Radio waybill – a form used to record shipping description entries provided orally.

Rail car – equipment used in rail transportation. For example, box car, flat car, gondola car, hopper car, tank car, or caboose, but not an engine.

Residue – the hazardous material remaining in a packaging, including a tank car, after its contents have been unloaded to the maximum extent possible. It is indicated on the shipping papers by the phrase "RESIDUE: LAST CONTAINED" before the proper shipping name.

Special Car Handling Instructions (SCHI) Code (*specific to BNSF operations*) – Two-letter code used to identify the primary placard required for a hazardous material shipment.

Special Permit – Special permit means a document issued by the Associate Administrator under the authority of 49 U.S.C. 5117 permitting a person to perform a function that is not otherwise permitted under subchapter A or C of this chapter, or other regulations issued under 49 U.S.C. 5101 et seq. (e.g., Federal Motor Carrier Safety routing requirements). The terms "special permit" and "exemption" have the same meaning for purposes of subchapter A or C of this chapter or other regulations issued under 49 U.S.C. 5101 through 5127. An exemption issued prior to October 1, 2005 remains valid until it is past its expiration date, terminated by the Associate Administrator, or issued as a special permit, whichever occurs first.

Shipper's Certification - a signed (or electronically printed) declaration on the shipping paper provided by the shipper to the first transporter for a loaded hazardous material shipment. It indicates compliance with the DOT regulations. The certification must be signed by hand or mechanically. It may read either:

"This is to certify that the above-named materials are properly classified, described, packaged, marked, and labeled and are in proper condition for transportation according to the applicable regulations of the Department of Transportation."

or

"I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name, and are classified, packaged, marked, and labeled/placarded, and are in all respects in proper condition for transport according to applicable international and national governmental regulations."

Note: A shipper's certification is required on any shipping paper that the customer provides to the crew for loaded hazardous material cars.

Shipping paper - any document providing the appropriate entries for a hazardous material shipment. (See section II for shipping paper requirements.)

Switching - the operation of moving rail cars within a yard, at a customer's facility, or at an interchange point, in order to place them in a train or on a classification, repair, or storage track. It does **not** include moving rail cars to or from a shipper's facility or industry track into or out of the yard.

Technical name - a recognized chemical name used in scientific and technical handbooks, journals, and texts to further identify a hazardous material.

Toxic Inhalation Hazard (TIH) - term used to identify certain gases and liquids that may cause health problems if breathed in very low concentrations for short periods of time.

Train - one or more engines coupled, with or without rail cars, displaying a marker, requiring an appropriate air brake test, and authorized to operate on a main track.

Yard - a system of tracks, other than main tracks and sidings, used for making and breaking up trains and for other purposes, such as repair or storage of cars.